

Thursday, November 21, 2013

Debra Howland  
Executive Director  
New Hampshire Public Utilities Commission  
21 South Fruit Street, Suite 10  
Concord, NH 03301-2429

NHPUC 25NOV13PM12:10

Dear Ms. Howland;

Enclosed is an initial application for Summit Energy Services, Inc., dba Schneider Electric Professional Services, for registration as an aggregator in the state of New Hampshire, as well as a \$250 check for payment. The electronic version of this application has been sent to [Executive.Director@puc.nh.gov](mailto:Executive.Director@puc.nh.gov). Please review the application and let us know if you need anything else.

Thank you,

Lindsay Blume  
Transaction Facilitator

Enclosures

**Schneider Electric**  
10350 Ormsby Park Place  
Suite 400  
Louisville, KY 40223 USA  
502-429-3800

[www.schneider-electric.com](http://www.schneider-electric.com)

## **Form for Initial and Renewal Registration of Aggregators**

(a) The registration application required by Puc 2003.04(a) and Puc 2003.05(b) shall include the following:

- (1) The legal name of the applicant as well as any trade name(s) under which it intends to operate in this state;

**Summit Energy Services, Inc.  
dba Schneider Electric Professional Services**

- (2) The applicant's business address, telephone number, e-mail address and website address, as applicable;

**10350 Ormsby Park Place, Suite 400  
Louisville, KY 40223  
502-429-3800  
[www.summitenergy.com](http://www.summitenergy.com)**

- (3) The name(s), title(s), business address(es), telephone number(s), and e-mail address(es) of the applicant if an individual or of the applicant's principal(s), if the applicant is anything other than an individual;

**Steve Wilhite  
President & CEO  
10350 Ormsby Park Place  
Suite 400  
Louisville, KY 40223  
502-753-3103  
[Steve.Wilhite@ems.schneider-electric.com](mailto:Steve.Wilhite@ems.schneider-electric.com)**

**Mark Boyer  
COO  
10350 Ormsby Park Place  
Suite 400  
Louisville, KY 40223  
502-753-3125  
[Mark.Boyer@ems.schneider-electric.com](mailto:Mark.Boyer@ems.schneider-electric.com)**

- (4) The telephone number of the customer service department or the name, title, telephone number and e-mail address of the customer service contact person of the applicant, including toll free telephone numbers if available

**Holly Liter  
Director, Sourcing  
10350 Ormsby Park Place, Suite 400  
Louisville, KY 40223  
502-753-3181  
[Holly.Liter@ems.schneider-electric.com](mailto:Holly.Liter@ems.schneider-electric.com)  
1-866-90-SUMMIT**

- (5) A copy of the applicant's authorization to do business in New Hampshire from the secretary of state, if anything other than an individual;

**See Attached**

- (6) Description of the geographic areas of New Hampshire in which the applicant intends to provide service, consistent with Puc 2006.01(a)(10) above; 20 Puc 2000 NEW HAMPSHIRE CODE OF ADMINISTRATIVE RULES

**Summit Energy Services, Inc. intends to do business with commercial and industrial clients behind National Grid of NH, Public Service of New Hampshire, & Unitil.**

- (7) A statement that the applicant is not representing any supplier interest or a listing of any supplier interest the applicant intends to represent;

**See Attached**

- (8) Except as provided in 2003.04(e), payment of the required filing fee;

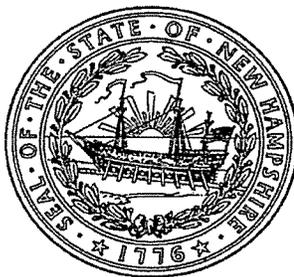
**Enclosed**

- (9) The signature of the applicant or its representative.

**See Attached**

# State of New Hampshire

OFFICE OF SECRETARY OF STATE



*I, DAVID M. SCANLAN, Deputy Secretary of State of the State of New Hampshire, do hereby certify that the attached is a true copy of Application for Certificate of Authority of Summit Energy Services, Inc. as filed in this office and held in the custody of the Secretary of State.*



*In Testimony Whereof, I hereto set my hand  
and cause to be affixed the Seal of the State,  
at Concord, this 25<sup>th</sup> day of October A.D. 2013*

A handwritten signature in black ink, appearing to read "D.M. Scanlan".

*Deputy Secretary of State*

# State of New Hampshire

Filing fee: \$50.00  
Fee for Form SRA: \$50.00  
Total fees \$100.00

Form 40  
RSA 293-A:15.03

Use black print or type.  
Form must be single-sided on 8 1/2" x 11" paper;  
double sided copies will not be accepted.

## APPLICATION FOR CERTIFICATE OF AUTHORITY FOR PROFIT FOREIGN CORPORATION

TO THE SECRETARY OF STATE OF THE STATE OF NEW HAMPSHIRE

PURSUANT TO THE PROVISIONS OF THE NEW HAMPSHIRE BUSINESS CORPORATION ACT, THE UNDERSIGNED CORPORATION HEREBY APPLIES FOR A CERTIFICATE OF AUTHORITY TO TRANSACT BUSINESS IN NEW HAMPSHIRE AND FOR THAT PURPOSE SUBMITS THE FOLLOWING STATEMENT:

FIRST: The name of the corporation is Summit Energy Services, Inc.

SECOND: The name which it elects to use in New Hampshire is Summit Energy Services, Inc.

THIRD: It is incorporated under the laws of Delaware

FOURTH: The date of its incorporation is July 26, 2004 and  
the period of its duration is perpetual

FIFTH: The complete address (including zip code and post office box, if any) of its principal office is 10350 Ormsby Park Place, Suite 400, Louisville, Kentucky 40223

SIXTH: The name of its registered agent IN NEW HAMPSHIRE is Corporation Service Company d/b/a  
Lawyers Incorporating Service and the complete address (including zip code  
and post office box, if any) of its registered office IN NEW HAMPSHIRE is (agent's business address)  
14 Centre Street, Concord, New Hampshire 03301

SEVENTH: The sale or offer for sale of any ownership interests in this business will comply with the requirements of the New Hampshire Uniform Securities Act (RSA 421-B).

EIGHTH: The principal purpose or purposes which it proposes to pursue in the transaction of business in New Hampshire are Energy consulting and related management services.

State of New Hampshire  
Form 40 - Application for Certificate of Authority 5 Page(s)



T1033625017

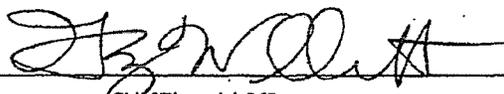
e1 V-1.0

APPLICATION FOR CERTIFICATE OF AUTHORITY

Form 40  
(Cont.)

NINTH: The names and usual business addresses of its current officers and directors are: (If there are additional officers or directors, attach additional sheet OR if the laws of the state of incorporation do not require directors, indicate below.)

<u>Name</u>	<u>Title</u>	<u>Address</u>
<b><u>OFFICERS</u></b>		
<u>See Attached Listing</u>		
<b><u>DIRECTORS</u></b>		
<u>See Attached Listing</u>		

By   
 Signature of its Chief Financial Officer  
 George Willett  
 Print or type name  
 Date signed: November 8, 2010

DISCLAIMER: All documents filed with the Corporate Division become public records and will be available for public inspection in either tangible or electronic form.

Mail fees, DATED & SIGNED ORIGINAL, ORIGINAL CERTIFICATE OF LEGAL EXISTENCE OR GOOD STANDING ISSUED BY THE STATE OR COUNTRY OF INCORPORATION AND FORM SRA to: Corporate Division, Department of State, 107 North Main Street, Concord, NH 03301-4989.

**ATTACHMENT \**  
**APPLICATION FOR**  
**CERTIFICATE OF AUTHORITY**  
**STATE OF NEW HAMPSHIRE**  
**BY**  
**SUMMIT ENERGY SERVICES, INC.**

Item 7. Name and addresses of officers and directors.

**OFFICERS:**

Steve Wilhite	President	10350 Ormsby Park Place, Suite 400 Louisville, Kentucky 40223
Mark Boyer	Vice President	10350 Ormsby Park Place, Suite 400 Louisville, Kentucky 40223
George Willett	Chief Financial Officer	10350 Ormsby Park Place, Suite 400 Louisville, Kentucky 40223
Michele Drechsel	Secretary and Treasurer	10350 Ormsby Park Place, Suite 400 Louisville, Kentucky 40223

**DIRECTORS:**

Chip Baird	10350 Ormsby Park Place, Suite 400 Louisville, Kentucky 40223
Mark Bono	10350 Ormsby Park Place, Suite 400 Louisville, Kentucky 40223
Mark Boyer	10350 Ormsby Park Place, Suite 400 Louisville, Kentucky 40223
Douglas Cobb	10350 Ormsby Park Place, Suite 400 Louisville, Kentucky 40223
Steve Wilhite	10350 Ormsby Park Place, Suite 400 Louisville, Kentucky 40223

# Delaware

PAGE 1

*The First State*

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "SUMMIT ENERGY SERVICES, INC." IS DULY INCORPORATED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL CORPORATE EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE TWENTY-SECOND DAY OF NOVEMBER, A.D. 2010.

AND I DO HEREBY FURTHER CERTIFY THAT THE SAID "SUMMIT ENERGY SERVICES, INC." WAS INCORPORATED ON THE TWENTY-SIXTH DAY OF JULY, A.D. 2004.

AND I DO HEREBY FURTHER CERTIFY THAT THE FRANCHISE TAXES HAVE BEEN PAID TO DATE.

AND I DO HEREBY FURTHER CERTIFY THAT THE ANNUAL REPORTS HAVE BEEN FILED TO DATE.

3758387 8300

101110421

You may verify this certificate online  
at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)



  
Jeffrey W. Bullock, Secretary of State  
AUTHENTICATION: 8370288

DATE: 11-22-10

## Verification of Application

Summit Energy Services, Inc. is not representing any supplier interest and acts as an entirely unbiased third party with the customer's best interests in mind. I confirm that all information provided in this application is true, correct, and complete to the best of my knowledge, information, and belief.



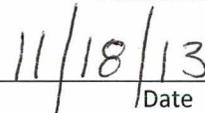
Signature

Bill Brewer

Name

VP – Regional Operations, Americas

Position Held



Date